AGENDA

Day 1: Tuesday, April 29, 2014

Time				
10:00 - 1:00 pm	Registration *Ballroom Foyer*			
12:00 - 1:00 pm	Lunch			
	mSIG Meetings and Workshops			
	MetricStream Special Interest Group Meetings (mSIG) *MetricStream Special Interest Group meetings are focus group discussions led by MetricStream users registered as mSIG members*		**GRC Workshops** *GRC Workshops are in-depth, interactive, training-based sessions that will provide attendees with actionable guidelines, methodologies, and best practices.*	
1:00 - 2:00 pm	MetricStream Special Interest Group Meeting: **Audit Management** Chair: Kristen Gantt, Regional VP Industry Solutions, MetricStream <u>1.2 CPE Credit</u> *Ballroom 1*	MetricStream Special Interest Group Meeting: **User Experience for the Modern User** Chair: Vasant Balasubramanian, VP Product Management, MetricStream <u>1.2 CPE Credit</u> *Mesquite*	GRC Workshop: **Practical strategies to transform your GRC data to information (by KPMG)** Structuring GRC technology data elements to meet your governance objectives Angela Hoon, Principal, KPMG Gene Fox, Director, KPMG Lisa Rawls, Director, KPMG <u>1.2 CPE Credit</u> *Ballroom 2*	GRC Workshop: **GRC Architecture and Design (by GRC 20/20)** Understanding GRC in the context of business architecture and aligning GRC with business performance, strategy, and objectives Michael Rasmussen, Chief GRC Pundit, GRC 20/20 Research Piyush Pant, VP Strategic Markets, MetricStream <u>3.6 CPE Credit</u> *Ballroom 3*
2:10 - 3:10 pm	MetricStream Special Interest Group Meeting: **Risk Management** Chair: Susan Palm, VP GRC Solutions, MetricStream <u>1.2 CPE Credit</u> *Ballroom 1*	MetricStream Special Interest Group Meeting: **Supplier Governance and 3rd Party Risk Management** Chair: Edward Park, Senior Director GRC Solutions, MetricStream <u>1.2 CPE Credit</u> *Mesquite*	GRC Workshop: **Use GRC to Achieve Principled Performance with Practical Open Source Standards (by OCEG)** Position GRC with your board, executive suite and business operators as a path to achieve Principled Performance using new and updated OCEG resources Scott L. Mitchell, Chairman, Open Compliance and Ethics Group Tony Miller, Co-Founder and Chief Operating Officer, The Vistria Group <u>1.2 CPE Credit</u> *Ballroom 2*	
3:20 - 4:20 pm	GRC Workshop: **MetricStream Application Studio** Software Development Life Cycle using MetricStream Application Studio Anindo Banerjea, SVP Engineering, MetricStream <u>1.2 CPE Credit</u> *Ballroom 1*	GRC Workshop: **GRC Journey - Derive value from your GRC investments** Accelerating organizational readiness and improving business performance by managing GRC as a program Isabel Smith, Director Corporate Internal Audit, Johnson & Johnson Vernon Emshoff, GRC Architect, USAA Yo Delmar, VP GRC Solutions, MetricStream <u>1.2 CPE Credit</u> *Ballroom 2*		
4:20 - 4:45 pm	Networking Break			
4:45 - 5:30 pm	**Opening Remarks** Customer Spotlight: We are on a Journey, Meet Seasoned Travelers Shellye Archambeau, Chief Executive Officer, MetricStream *Four Seasons Ballroom*			
5:30 - 7:00 pm	Welcome Reception *Fountain Terrace*			

AGENDA

Day 2: Wednesday, April 30, 2014

Time	Session	CPE
7:00 - 8:00 am	Breakfast and Networking *Ballroom Foyer*	
8:00 - 8:10 am	**Welcome Note** Shellye Archambeau, Chief Executive Officer, MetricStream *Four Seasons Ballroom*	
8:10 - 9:00 am	**Keynote Address** How Proactive Risk Management and Strong Corporate Governance Can Support Strategic Decision-Making and Drive Business Performance William S. Cohen, Former U.S. Secretary of Defense, CEO of The Cohen Group, & Board Director at CBS Corporation *Four Seasons Ballroom*	1 CPE Credit
9:00 - 10:00 am	**CEO and Board Room Dialogue** Our Vision for the Future of Governance, Risk Management, and Compliance Craig L. Wilson, President, Craig L Wilson, LLC Sheila G. Talton, CEO & President, Gray Matter Analytics William S. Cohen, Former U.S. Secretary of Defense, CEO of The Cohen Group, & Board Director at CBS Corporation Gunjan Sinha, Executive Chairman, MetricStream Shellye Archambeau, CEO, MetricStream *Four Seasons Ballroom*	1.2 CPE Credit
10:00 - 10:45 am	**Keynote Address** Towards Pervasive GRC - Building Compliant, Well-Governed, and Risk-Aware Cultures Gunjan Sinha, Executive Chairman, MetricStream *Four Seasons Ballroom*	0.9 CPE Credit
10:45 - 11:00 am	Networking Break	
11:00 - 11:45 am	**Business Executives Panel** Orchestrating Pervasive GRC David Bohm, Managing Director, Citi Michelle Nix, Vice President, National IT Compliance, Kaiser Permanente Rich Adams, Senior Vice President, Intertek Gaurav Kapoor, Chief Operating Officer, MetricStream *Four Seasons Ballroom*	0.9 CPE Credit
11:45 - 12:30 pm	**Analyst Session** Applying the Fundamentals of GRC to the Rest of the Business Chris McClean, Principal Analyst and Research Director, Forrester Research Brenda Boultwood, SVP GRC Solutions, MetricStream *Four Seasons Ballroom*	0.9 CPE Credit
12:30 - 1:30 pm	Networking Lunch	

Panel Discussions

	Internal Audit	Operational Risk Management	Supplier Governance	Corporate Compliance and Ethics
1:30 - 2:30 pm	**Internal Audit** Driving Value and Collaboration with the Second Line of Defense Angela Hoon, Principal, KPMG John Noto, Executive Director - Head of OpRisk / SOX / BCP, Mizuho Securities USA Mohammed Shihadeh, Chief Audit Executive, Capital Guidance Tim Schmutzler, Regional VP, MetricStream 1.2 CPE Credit *Ballroom 1*	**Operational Risk Management** The Next Frontier Frank J. Santora, First Vice President, Hudson City Savings Bank John Wheeler, Research Director, Gartner Research Ken Robinson, Chief Risk Officer, Calpine Corporation Robert Gerden, SVP, Group Operational Risk, Wealth, Brokerage & Retirement, Wells Fargo Susan Palm, VP GRC Solutions, MetricStream 1.2 CPE Credit *Ballroom 2*	**Supplier Governance** Integrating Supplier Governance, Compliance, Sustainability, and CSR Programs Karin Carstensen, Food Scientist, Woolworths South Africa Michael Littenberg, Partner, Schulte Roth & Zabel Mike Bardos, Program Manager, Hewlett-Packard Company Susan Van Houten, Sr. Director of Global Sourcing, HD Supply Keri Dawson, VP Industry Solutions & Advisory Services, MetricStream 1.2 CPE Credit *Ballroom 3*	**Corporate Compliance and Ethics** The Role of Ethics and Compliance Management in the GRC Journey Joe DeVita, Partner, PwC Michael Cover, Manager, Business Compliance Oversight Office, Blue Cross Blue Shield of Michigan Michael Rasmussen, Chief GRC Pundit, GRC 20/20 Research Peter Maloney, Chief Legal Officer, QBE Insurance Mike Milton, Regional VP, MetricStream 1.2 CPE Credit *Mesquite*

AGENDA

MetricStream
GRC
SUMMIT **2014**
April 29 - May 01, 2014
Four Seasons Hotel | Las Vegas | NV

2:40 - 3:40 pm	**Enterprise Risk Management** Leveraging Risk Intelligence to Strengthen Business Performance Dawn Ward, VP of Risk Management and Risk & Control Officer, MidCountry Financial Corp Kevin Slane, Director of ERM, Hancock Bank Dr. Modestus Kipilimba, Director of Risk Management, Bank of Tanzania Robert Hildreth, Head of ERM, Brown Brothers Harriman & Co. Kristen Gantt, Regional VP, MetricStream 1.2 CPE Credit *Ballroom 1*	**Third-Party Risk Assessments** Adopting a Systematic and Collaborative Approach to Third-Party Risk Assessments Bill Richards, SVP, Audit Manager, Umpqua Bank Chris Ambrose, Research Vice President, Gartner Michael Urbanowicz, Manager, IT Risk & Compliance, Walgreens Brenda Boultwood, SVP GRC Solutions, MetricStream 1.2 CPE Credit *Ballroom 2*	**IT Security and Risk Management** The Evolving IT Security and Risk Landscape - The Cloud, Security Breaches, Privacy Concerns, IT Regulations, and More Jack Jones, President, CXOWARE, Inc. Linda McCarthy, Security Consultant and Author of 'Own Your Space' Michelle Nix, VP, National IT Compliance, Kaiser Permanente Stephanie Fohn, President and CEO, WhiteHat Security Yo Delmar, VP GRC Solutions, MetricStream 1.2 CPE Credit *Ballroom 3*	**Regulatory Compliance** Harmonizing and Streamlining Regulatory Compliance Alison C. Lontz , Director, Corporate Compliance, Oglethorpe Power Corporation Dianne Dieterle Judge, System VP, Chief Compliance & Enterprise Risk Officer, Premier Health Tracey Dedrick, EVP and CRO, Hudson City Savings Bank Edward Park, Senior Director GRC Solutions, MetricStream 1.2 CPE Credit *Mesquite*
3:40 - 4:00 pm	Networking Break			
4:00 - 4:45 pm	**Analyst Session** Everything I Know About Risk I Learned in Das Boot French Caldwell, Vice President and Gartner Fellow, Gartner Research Gaurav Kapoor, Chief Operating Officer, MetricStream *Four Seasons Ballroom*			0.9 CPE Credit
4:45 - 5:15 pm	**MetricStream Product and Solution Roadmap** Piyush Pant, VP Strategic Markets, MetricStream *Four Seasons Ballroom*			0.9 CPE Credit
5:15 - 6:00 pm	**Analyst Session** GRC Maturity: Benchmarking Your GRC Program Michael Rasmussen, Chief GRC Pundit, GRC 20/20 Research Vinay Bapna, Associate VP, Marketing, MetricStream *Four Seasons Ballroom*			0.9 CPE Credit
6:00 - 8:00 pm	Networking Reception and Dinner *Ballroom Foyer*			

Day 3: Thursday, May 01, 2014

7:00 - 8:00 am	Breakfast and Networking *Ballroom Foyer*	
8:00 - 8:10 am	**Welcome Note** Gaurav Kapoor, Chief Operating Officer, MetricStream *Four Seasons Ballroom*	
8:10 - 9:00 am	**Keynote Address** Bernard J. Tyson, Chairman & CEO, Kaiser Permanente 1 CPE Credit *Four Seasons Ballroom*	
9:00 - 9:45 am	**C-Level Panel** A C-Suite Discussion: Top of Mind Governance, Risk Management, and Compliance Challenges and Opportunities Ann Michele Bowlin, Chief Information Officer, Intertek Shellye Archambeau, Chief Executive Officer, MetricStream Tony Miller, Co-Founder and Chief Operating Officer, The Vistria Group Tracey Dedrick, EVP and CRO, Hudson City Savings Bank Brenda Boultwood, SVP GRC Solutions, MetricStream *Four Seasons Ballroom*	0.9 CPE Credit

AGENDA

MetricStream
GRC
SUMMIT **2014**
April 29 - May 01, 2014
Four Seasons Hotel | Las Vegas | NV

Time	Session	
9:45 - 10:30 am	**Featured Case Study: Johnson & Johnson** Integrated GRC at a Global Corporation Isabel Smith, Director Corporate Internal Audit, Johnson & Johnson Chris McClean, Principal Analyst and Research Director, Forrester Research Gaurav Kapoor, Chief Operating Officer, MetricStream Supradeep Appikonda, Sr. Director GRC Solutions, Professional Services, MetricStream *Four Seasons Ballroom*	0.9 CPE Credit
10:30 - 10:50 am	Networking Break	

	Case Study Presentations		
10:50 - 11:40 am	**Audit Management at Dow AgroSciences** Integrated and Streamlined Quality and Compliance Audits Shalini Srinivasa, Project Manager, Dow AgroSciences Amitabh Bhattacharya, VP Customer Solutions and Innovation Group, MetricStream 1 CPE Credit *Ballroom 1*	**Operational Risk Management at Vanguard** Operational Risk Management: A Back-to-Basics Approach Spyro Karetsos, Director of ERM, The Vanguard Group Vasant Balasubramanian, VP Product Management, MetricStream 1 CPE Credit *Ballroom 2*	**Compliance Management at Eaton** Building an Integrated and Closed-Loop SOX Compliance Program James Tilk, CMA, CPA, Internal Controls, Eaton Anthony Lui, Director Engineering, MetricStream 1 CPE Credit *Ballroom 3*
11:45 - 12:30 pm	**Risk Management at Capital Guidance** Risk Management Implementation A-Z Mohammed Shihadeh, Chief Audit Executive, Capital Guidance Aneesh Bhatnagar, Associate Director Product Management, MetricStream 0.9 CPE Credit *Ballroom 1*	**IT Security and Risk Management at Capco-FIS** Integrated Security and GRC Implementation- End to End GRC Processes Using an Integrated GRC Platform Kashif Latif, Global Security & GRC Officer, Capco-FIS David Williamson, VP Professional Services, MetricStream 0.9 CPE Credit *Ballroom 2*	**Supplier Governance at Woolworths** Towards a Sustainable Supplier Quality and Safety Management Program Karin Carstensen, Food Scientist, Woolworths South Africa Shankar Bhaskaran, VP & GM International Business Operations, MetricStream 0.9 CPE Credit *Ballroom 3*
12:30 - 1:30 pm	Networking Lunch		

1:30 - 2:20 pm	**Zaplet** The Cloud Platform and Partner Ecosystem for Amazing GRC Apps Christine Churchwell, Mobility, Product and Growth, Tech 2000 Eduardo Accame, Business Development, Sr. Manager, Concur Sidhartha Shankar, President, Epitome Blue Anindo Banerjea, Sr. VP Engineering, MetricStream 1 CPE Credit *Ballroom 1*	**Risk Management at Hudson City Savings Bank** Implementing ERM and Operational Loss Data Collection Program at a Regional Bank Frank J. Santora, First Vice President, Hudson City Savings Bank Jannie Wentzel, Director, PwC Brad Jackson, Director GRC Solutions, Professional Services, MetricStream Susan Palm, VP GRC Solutions, MetricStream 1 CPE Credit *Ballroom 2&3*

2:30 - 3:15 pm	**Closing Keynote Address** Yvonne Cagle, MD, Astronaut, NASA *Four Seasons Ballroom*	0.9 CPE Credit
3:15 - 3:30 pm	**Closing Remarks** Shellye Archambeau, Chief Executive Officer, MetricStream *Four Seasons Ballroom*	
3:30 - 4:00 pm	Refreshments and Summit Conclusion	